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Substitute for form 1449A/PTO

**Complete if Known****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Application Number

Filing Date

06/25/2003

First Named Inventor

Michael Edward Baum

Art Unit

Examiner Name

STL11279

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AA	US- 5,541,784		07/30/1996	Cribbs et al.	
AB	US- 5,550,685		08/27/1996	Drouin	
AC	US- 5,844,742		12/01/1998	Yarmchuk et al.	
AD	US- 5,949,605		09/07/1999	Lee et al.	
AE	US- 6,178,157	B1	01/23/2001	Berg et al.	
AF	US- 6,236,634	B1	05/22/2001	Lee et al.	
AG	US- 6,239,940	B1	05/29/2001	Sasamoto et al.	
AH	US- 6,411,459	B1	06/25/2002	Belser et al.	
AI	US- 6,476,995	B1	11/05/2002	Liu et al.	
AJ	US- 6,490,120	B1	12/03/2002	Burton et al.	
AK	US- 6,493,175	B1	12/10/2002	Carlson	
AL	US- 6,510,016	B1	01/21/2003	Hattori	
	US-				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s):	Michael Edward Baum et al.	Examiner:	
Serial No.:		Group Art Unit:	
Filed:	June 25, 2003	Docket No.:	STL11279
Title:	Radial Dependent Low Frequency Repeatable Runout Compensation Apparatus And Method		

<b>Mail Stop Patent Application          Commissioner For Patents          PO Box 1450          Alexandria, VA 22313-1450</b>	
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**INFORMATION DISCLOSURE STATEMENT**

**Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)**

Form PTO/SB/08A is attached hereto.

**Section 2. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed in Form PTO/SB/08A accompany this Information Disclosure Statement.

**Section 3. Remarks or Additional Statements**

This Information Disclosure Statement is being filed along with a new patent application.

This Information Disclosure Statement is being filed *within* three months of the filing date of the application or date of entry into the national stage of an international application or *before* the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

37 C.F.R. §1.97(c) This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national Stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

1. a final action under section 1.113; or
2. a notice of allowance under section 1.311  
whichever occurs first.

A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made; or

No fee is required because certification statement under 37 C.F.R. §1.97(e) is noted below.

37 C.F.R. §1.97(d) This Information Disclosure Statement is being filed *after* a final action under §1.113, or a notice of allowance under §1.113, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

A fee under 37 C.F.R. §1.17(p) is required and either of the statements below (37 C.F.R. §1.97(e)).

37 C.F.R. §1.97(e) Choose either Statement:

Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing; or

No item of information contained in the IDS was cited in a communication from a foreign patent office in the counterpart foreign application, and to my knowledge no item of information contained in the IDS was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this IDS.

Consideration of the listed items is respectfully requested.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC  
(Assignee of Entire Interest)

6/25/01  
Date

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